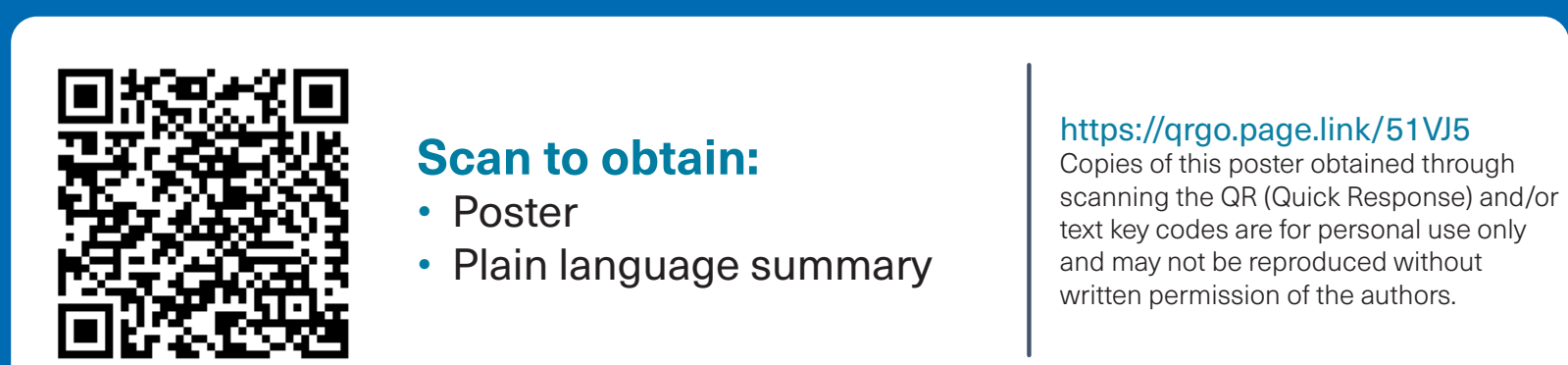


Primary Analysis of the Phase 2 ELARA Trial: Tisagenlecleucel Efficacy and Safety in Adult Patients With Relapsed/Refractory Follicular Lymphoma

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CONCLUSIONS

- As of September 28, 2020, tisagenlecleucel demonstrated high rates of durable responses with an ORR of 86% and CRR of 66%
- Data from the ELARA study suggest that tisagenlecleucel is effective in patients with r/r FL (including the high-risk subgroup)
- Safety data are consistent with the established favorable safety profile of tisagenlecleucel
 - No cases of high-grade CRS were reported
 - One case (1%) of high-grade neurotoxicity was reported
 - No treatment-related mortalities were observed
- The cellular kinetic analyses showed no apparent impact of dose on probability of CRS or NEs
- Tisagenlecleucel merits continued exploration as a therapy for the treatment of adult patients with r/r FL following ≥2 lines of therapy

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INTRODUCTION

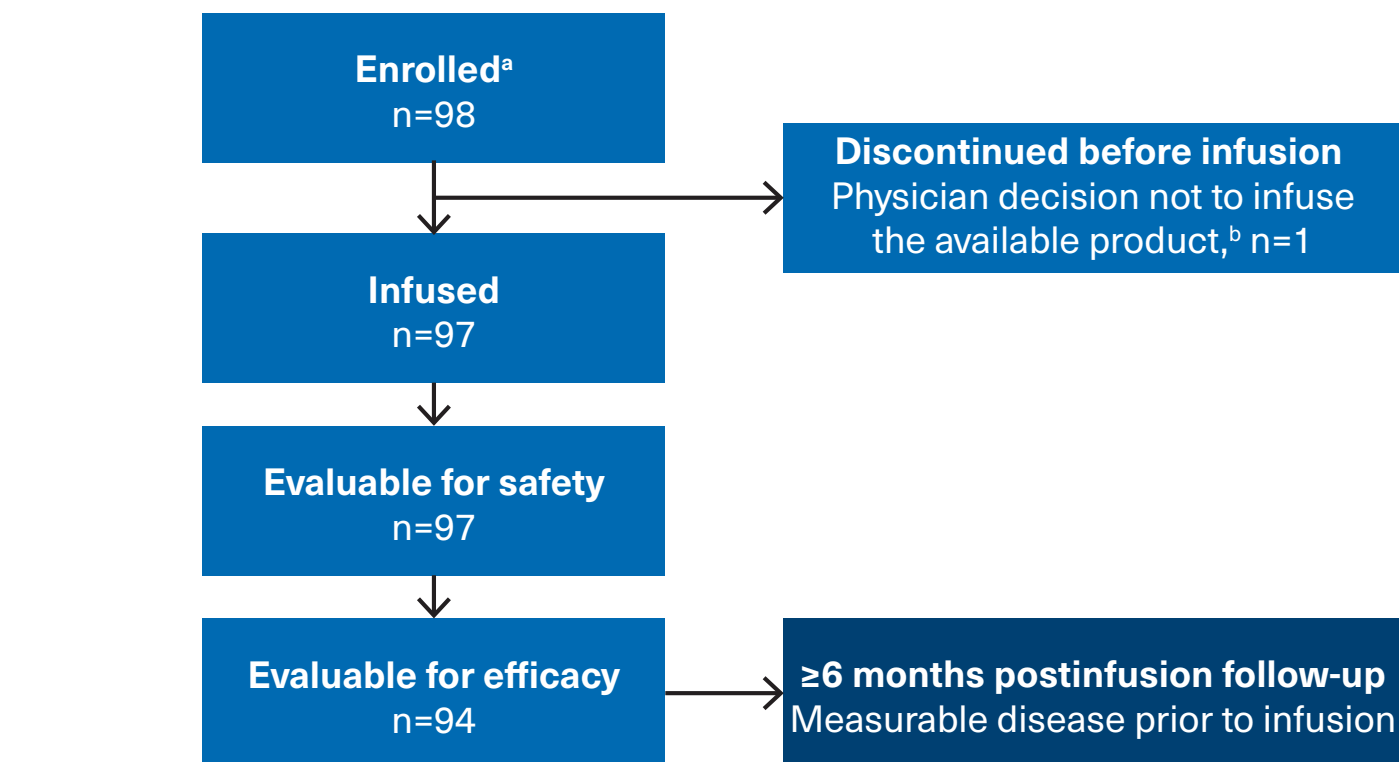
- Despite recent advancements, follicular lymphoma (FL) is the second most common type of non-Hodgkin lymphoma in the Western world and remains incurable¹
 - Approximately 20% of patients with FL experience disease recurrence within 2 years after first-line therapies^{2,3}
 - Patients with relapsed or refractory (r/r) FL typically have worsening treatment outcomes with increasing lines of therapy, emphasizing an unmet need for new therapies
- Tisagenlecleucel is an autologous anti-CD19 chimeric antigen receptor (CAR)-T cell therapy
 - Approved for use in r/r pediatric and young adult acute lymphoblastic leukemia and r/r adult diffuse large B-cell lymphoma populations^{4,5}
- The ELARA trial of tisagenlecleucel in adults with r/r FL met the primary endpoint (complete response rate) at the interim analysis⁶
- Here we report the primary analysis of the ELARA trial with a median follow-up of 10.9 months for efficacy and 10.6 months for safety

RESULTS

Patients

- As of September 28, 2020, 98 patients were enrolled and 97 patients with r/r FL received tisagenlecleucel (Figure 2)
- Median follow-up for infused set (n=97): 10.6 months (4.3-19.7 months)
- Median follow-up for efficacy set (n=94): 10.9 months (4.3-19.7 months)

Figure 2. Patient Disposition



¹The median time from enrollment to infusion was 45 days (range, 22-126 days). *CR before infusion. CR, complete response.

- Patient demographic and baseline disease characteristics are summarized in Table 1
 - 18% of patients received tisagenlecleucel infusion in an outpatient setting
 - Bridging therapy was administered for stabilization in 44% of patients
 - Median infused dose of tisagenlecleucel was 2.06×10⁶ CAR+ viable T cells

Table 1. Demographics and Baseline Disease Characteristics

	All Patients (N=97)
Median age (range), y	57.0 (29-73)
≥65 y, n (%)	24 (24.7)
ECOG PS, n (%)	
0	56 (57.7)
1	37 (38.1)
2	4 (4.1)
Bulky disease at study entry, ^a n (%)	63 (64.9)
Stage at study entry III-IV, n (%)	82 (84.5)
FLIPI ≥3 at study entry, n (%)	58 (59.8)
Median no. of prior therapies (range)	4 (2-13)
≥5, n (%)	27 (27.8)
POD24 from first anti-CD20 mAb-containing therapy, ^b n (%)	58 (59.8)
Refractory to last line of therapy, ^c n (%)	76 (78.4)
Prior autologous HSCT, n (%)	35 (36.1)
Refractory to ≥2 regimens, ^c n (%)	74 (76.3)
Double refractory, ^c n (%)	67 (69.1)
Prior therapy	
Anti-CD20 mAb and alkylating agents, ^d n (%)	63 (64.9)
PI3K inhibitors, n (%)	20 (20.6)
Lenalidomide and rituximab, n (%)	16 (16.5)

^aDisease burden, as defined by GELF criteria and includes a single nodal site ≥7 cm or 3 distinct nodal sites ≥3 cm. ^bDisease progression <24 months from initiation of first-line therapy, including primary refractory patients. ^cRefractory: Failure to respond to previous treatment or progression within 6 months of prior therapy completion. ^dSame regimen or different regimens. ^eSubjects who failed to respond or relapsed within 6 months following therapy with anti-CD20 and alkylating agents, any regimen. ^fTreatment density was also measured and is defined as the time from initial diagnosis to study entry (y) over the number of prior therapies (median treatment density was 1.4 y). ECOG PS, Eastern Cooperative Oncology Group performance status; FLIPI, Follicular Lymphoma International Prognostic Index; HSCT, hematopoietic stem cell transplant; mAb, monoclonal antibody; PI3K, phosphatidylinositol 3-kinase; POD24, progression of disease within 24 months.

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 - This study was sponsored by Novartis Pharmaceuticals Corporation
- SJS:** Novartis; Genentech, Inc./F. Hoffmann-La Roche; Research Funding; Allogene, AstraZeneca, Beigene, Genentech, Inc./F. Hoffmann-La Roche, Juno/Celgene, Loxo Oncology, Nordic Nanovector, Novartis, Teissa Therapeutics; Consultancy, Honoraria. **Dickinson:** Roche; Consultancy, Honoraria, Membership on an entity's Board of Directors or advisory committees, Speakers Bureau; **Gilead:** Consultancy, Honoraria, Research Funding, Speakers Bureau; **Merck Sharp & Dohme:** Consultancy, Novartis; Consultancy, Honoraria, Research Funding, Speakers Bureau; **Janssen:** Consultancy, Honoraria, Speakers Bureau. **Dreyling:** AstraZeneca; Consultancy, AbbVie; Research Funding; Roche; Consultancy, Research Funding, Speakers Bureau; **Celgene:** Consultancy, Research Funding, Speakers Bureau; **Bay:** Consultancy, Speakers Bureau; **Beigene:** Consultancy, Gilead; Consultancy, Research Funding, Speakers Bureau; **Janssen:** Consultancy, Research Funding, Speakers Bureau; **Novartis:** Consultancy, JML/Janssen; Consultancy, Honoraria; **Novartis:** Consultancy, Janssen-Cilag; Consultancy, Honoraria, Membership on an entity's

Disclosures

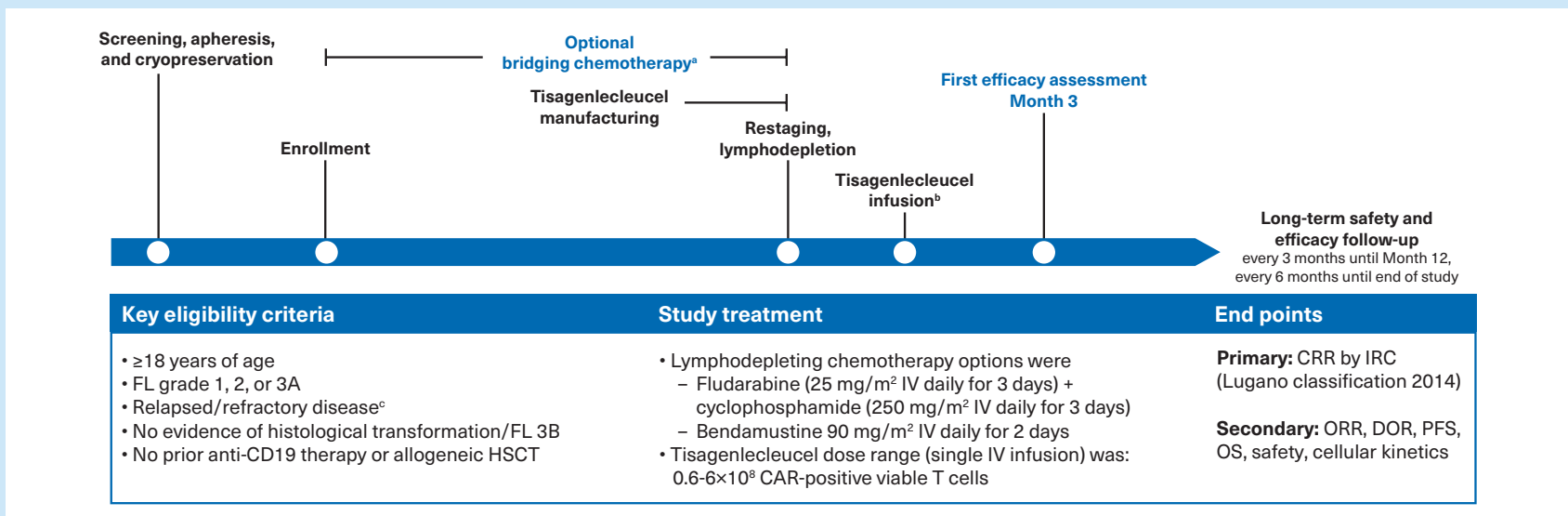
SJS: Novartis, Genentech, Inc./F. Hoffmann-La Roche; Research Funding; Allogene, AstraZeneca, Beigene, Genentech, Inc./F. Hoffmann-La Roche, Juno/Celgene, Loxo Oncology, Nordic Nanovector, Novartis, Teissa Therapeutics; Consultancy, Honoraria. **Dickinson:** Roche; Consultancy, Honoraria, Membership on an entity's Board of Directors or advisory committees, Speakers Bureau; **Gilead:** Consultancy, Honoraria, Research Funding, Speakers Bureau; **Merck Sharp & Dohme:** Consultancy, Novartis; Consultancy, Honoraria, Research Funding, Speakers Bureau; **Janssen:** Consultancy, Honoraria, Speakers Bureau. **Dreyling:** AstraZeneca; Consultancy, AbbVie; Research Funding; Roche; Consultancy, Research Funding, Speakers Bureau; **Celgene:** Consultancy, Research Funding, Speakers Bureau; **Bay:** Consultancy, Speakers Bureau; **Beigene:** Consultancy, Gilead; Consultancy, Research Funding, Speakers Bureau; **Janssen:** Consultancy, Research Funding, Speakers Bureau; **Novartis:** Consultancy, JML/Janssen; Consultancy, Honoraria; **Novartis:** Consultancy, Janssen-Cilag; Consultancy, Honoraria, Membership on an entity's

METHODS

Study Design

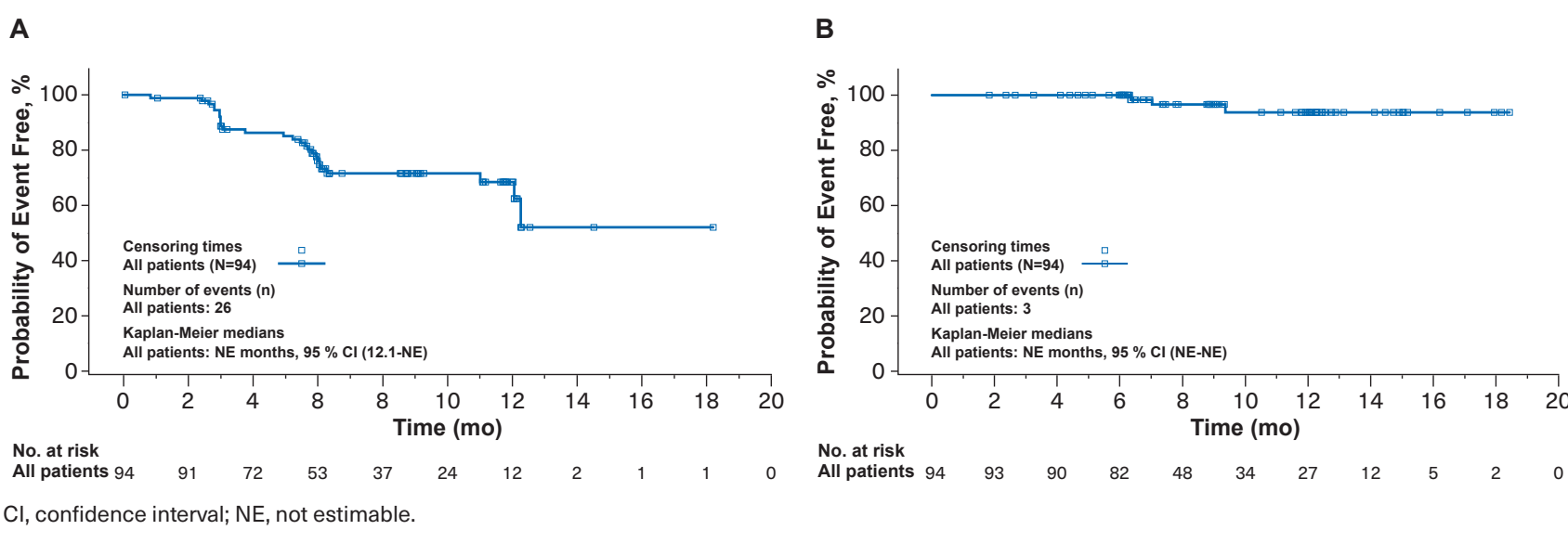
- ELARA is an international, multicenter study conducted at 32 sites in 12 countries across the United States, Europe, Japan, and Australia (ClinicalTrials.gov: NCT03568461; Figure 1)
- Central histologic confirmation of the diagnosis was performed at study entry
- All patients underwent leukapheresis; enrollment was complete when the cryopreserved material was received by the manufacturing facility and clinical eligibility was confirmed
- Bridging therapy was allowed at the investigator's discretion and disease status was reassessed prior to tisagenlecleucel infusion in all patients to establish a new baseline
- Before infusion, all patients received 1 cycle of lymphodepleting chemotherapy with either fludarabine (25 mg/m²) and cyclophosphamide (250 mg/m²) daily for 3 days or bendamustine (90 mg/m²) daily for 2 days
- Tisagenlecleucel was administered as a single intravenous (IV) infusion at the protocol-specified dose of 0.6-6×10⁶ CAR-positive viable T cells on Day 1

Figure 1. ELARA Study Schema



*Disease was reassessed prior to infusion for all patients requiring bridging therapy. *Infusion was conducted on an in- or outpatient basis at investigator discretion. *Refractory to ≥2nd line of systemic therapy (including an anti-CD20 antibody and alkylating agent) or relapsed within 6 months after ≥2nd line of therapy or after an autologous HSCT. CAR, chimeric antigen receptor; CD, cluster of differentiation; CRR, complete response rate; DOR, duration of response; FL, follicular lymphoma; HSCT, hematopoietic stem cell transplant; IRC, Independent Review Committee; IV, intravenous; ORR, overall response rate; OS, overall survival; PFS, progression-free survival.

Figure 5. Progression-Free Survival (A) and Overall Survival (B)



- Median PFS (95% CI, 12.1-NE) and overall survival (95% CI, NE-NE) were not reached (Figure 5)
- 6-month PFS was 76% (95% CI, 65-84)

Cellular Kinetics

- Cellular kinetic parameters for tisagenlecleucel were estimated using transgene levels in peripheral blood (n=94; Table 3)
- The persistence of CAR transgene was observed for up to 370 days in responding patients and 187 days in nonresponding patients, and is expected to increase with additional follow-up
- Probability of achieving response was comparable across dose quartiles
- No apparent impact of dose was observed on probability of cytokine release syndrome (CRS) or neurological events (NEs)

Table 3. Cellular Kinetic Parameters

Parameter	Responders CR/PR (N=81) ^a	Nonresponders SD/PD (N=12)
T _{max} , n	74	9
Median, d	167	126
T _{max} , n	71	9
Median, d	10	12.9
C _{max} , n	71	9
Geo-Mean (copies/μg)	5190	3000
Geo-CV%	447	1190
AUC ₀₋₃₆₀ , n	68	5
Geo-Mean (copies/μg*d)	53,700	86,800
Geo-CV%	276	417

^aOne patient with an unknown response is excluded. AUC₀₋₃₆₀, area under the curve from Day 0-360; C_{max}, geometric mean estimate for expansion; CR, complete response; CRS, cytokine release syndrome; Geo-CV, geometric coefficient of variation; Geo-Mean, geometric mean; PR, partial response; PD, progressive disease; SD, stable disease; T_{max}, time to maximal transgene expansion.

Safety

- Among 97 patients evaluable for safety, 99% experienced any-grade adverse events (AEs), and 76.3% experienced at least 1 grade 3 or higher AE during the study (Table 4)

Table 4. Overall Safety

Adverse Events, n (%)	Treated Patients (N=97)
Any AE (all grade)	96 (99.0)
AEs suspected to be drug-related	75 (77.3)
Any SAE	40 (41.2)
Suspected to be drug-related	28 (28.9)
Any grade 3/4 AE	74 (76.3)
Suspected to be drug-related	44 (45.4)
Death	3 (3.1)
Deaths due to study indication	3 (3.1)
Deaths within 30 days post infusion	0

AEs as per CTCAE v4.03. Median follow-up for safety (n=97): 10.6 months (4.3-19.7 months).

AE, adverse event; CRS, cytokine release syndrome; CTCAE, Common Terminology Criteria for Adverse Events; SAE, serious adverse event.

Statistical Analysis

- Based on the null hypothesis of complete response rate (CRR) of 15% or less and assuming an underlying CRR of 30% for tisagenlecleucel, 90 patients in the primary analysis were required to provide at least 90% cumulative power to demonstrate statistical significance, using a 2-look Lan-DeMets group sequential design with O'Brien-Fleming type boundary and an exact CI at 1-sided cumulative 0.025 level of significance
- The primary analysis occurred after 90 patients had reached 6 months follow-up post infusion or had discontinued early
- Duration of response (DOR), progression-free survival (PFS), and overall survival were estimated using the Kaplan-Meier method
- Cellular kinetics were measured in the peripheral blood of evaluable patients by quantitative polymerase chain reaction

- AEs of special interest that occurred within 8 weeks of tisagenlecleucel infusion are reported in Table 5
- 74.5% of the CRS events and 100% of immune effector cell-associated neurotoxicity syndrome (ICANS) occurred in patients with bulky disease
- All neurological and CRS events resolved with appropriate management

Table 5. Adverse Events of Special Interest

AESi (within 8 weeks of infusion)	All grades, %	Grade ≥3, %
Cytokine release syndrome ^{a,b}	48.5	0
Neurological adverse reactions	9.3	1
Infections	18.6	5.2
Tumor lysis syndrome	1	1
Prolonged depletion of B cells and/or agammaglobulinemia ^a	10.3	0
Hematologic disorders including cytopenias		
Neutropenia ^{a,c}	30.9	27.8
Anemia ^a	24.7	13.4
Thrombocytopenia ^a	16.5	9.3

^aCRS was graded using the Lee scale 2014. ^bProlonged depletion is defined as lasting >28 days. Agammaglobulinemia is defined as IgG <4 g/L. Patients were counted here if they had either B-cell depletion or agammaglobulinemia or both. ^cOne of multiple preferred terms evaluated under the AESi. ^dHematologic disorders including cytopenias. ^eMedian duration of grade 3/4 neutropenia was 52 days (based on laboratory data).

AESi, adverse event of special interest; CRS, cytokine release syndrome.

- Any-grade CRS (Lee scale) occurred in 49% of patients (Table 6)
- Among patients with CRS, 34% of patients received tocilizumab and 6.4% received corticosteroids

Table 6. Cytokine Release Syndrome

Events, n (%)	Treated Patients (N=97)
Patients with CRS ^{a,b}	47 (48.5)
Grade 1	27 (27.8)
Grade 2	20 (20.6)
Grade ≥3	0 (0)
CRS management	
Tocilizumab	16 (34)
Corticosteroids	3 (6.4)
Median time to onset, d (range)	4 (1-14)
Admitted to ICU, n (%)	4 (8.5)
Median total duration of ICU stay during CRS, d (range)	4 (2-5)
Patients with resolved events, n (%)	47 (100)

^aOccurring within 8 weeks of tisagenlecleucel infusion. ^bCRS was graded using the Lee scale 2014. CRS, cytokine release syndrome; ICU, intensive care unit.

- NEs occurred in 9 patients (Table 7)
- Median onset was 8.5 days (4-190 days)
- All NEs resolved for all patients; median time to resolution was 2 days
- Only 1 case of serious ICANS within the first 8 weeks

Table 7. Neurological Events

Events, n (%)	All Grades	Grade 3	Grade 4
Number of patients with at least 1 event	9 (9.3)	0	1 (1.0) ^a
Encephalopathy	3 (3.1)	0	0
Immune effector cell-associated neurotoxicity syndrome	3 (3.1)	0	1 (1.0)
Tremor	2 (2.1)	0	0
Dyskinesia	1 (1.0)	0	0
Dysphagia	1 (1.0)	0	0
Muscular weakness	1 (1.0)	0	0
Neurotoxicity	1 (1.0)	0	0

^aGrade 4 ICANS: Onset Day 10, recovered – Related to tisagenlecleucel. Patient presenting with tremors, then seizures, with concomitant HHV-6 positivity on CSF. The patient fully recovered after high-dose MPD and GCV. ^bGrade 3 Delirium: Onset Day 190, recovered – Not related to tisagenlecleucel. Patient was in PD at the time of the event, with new anticholinergic therapy started.

CSF, cerebrospinal fluid; GCV, ganciclovir; HHV-6, human herpesvirus 6; MPD, methylprednisolone; PD, progressive disease.

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